



Contribution of the DFTB scheme to the modeling of water clusters

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Collaborators

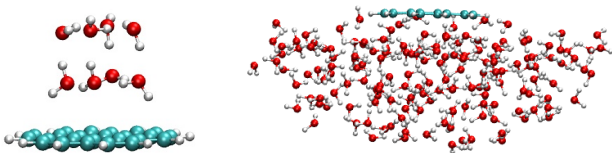
MAD group :

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- Fernand Spiegelman
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- Jennifer Noble (PIIM, Marseille)
- Joëlle Mascetti (ISM, Bordeaux)
- Eric Michoulier
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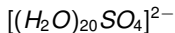
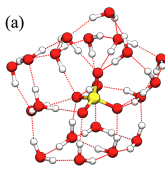
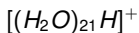
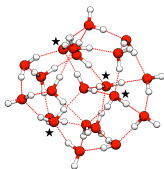
- 1 Introduction**
- 2 Method : SCC-DFTB for molecular clusters
- 3 Application : exploration of PES
- 4 Conclusion

Which systems ?

- Pure water clusters (small : benchmark)
- In interaction with PAHs : clusters/ice

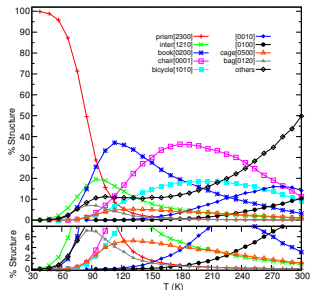
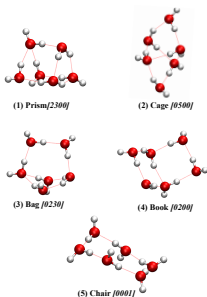


- Solvating impurities : proton, sulfate



Properties of water clusters

- Complex potential energy surfaces : many isomeric structures



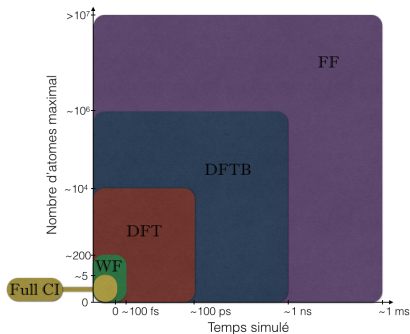
- Intermolecular interactions : hydrogen bonds, a challenge to describe
- Methods : DFTB + molecular dynamics (MD)

Equilibrium properties : structures, energetics, IR spectra, thermodynamics

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The SCC-DFTB scheme : an approximate DFT method

- Self-Consistent-Charge Density Functional based Tight Binding (SCC-DFTB) method (*Elstner PRB 2008*) : parameterized functions and integrals, and reduced basis sets.



- Modifications of the SCC-DFTB hamiltonian to describe large molecular clusters (~ 1000 atoms) (*Rapacioli et al. Phys. Stat. Solid. B 2012*)

The SCC-DFTB scheme : an approximate DFT method

(1) Development of the Kohn-Sham energy up to the 2nd order around ρ_0 :

$$E^{SCC-DFTB} = \sum_i^{occ} n_i \langle \psi_i | \hat{h}[\rho_0] | \psi_i \rangle + E^{rep}(\rho_0) + \frac{1}{2} \sum_{\alpha\beta} \gamma_{\alpha\beta}(R_{\alpha\beta}) \delta q_{\alpha} \delta q_{\beta}$$

* q : **Mulliken charges** in the initial SCC-DFTB scheme

* $E^{rep}(\rho_0)$, $\gamma_{\alpha\beta}(R_{\alpha\beta})$, $\langle \phi_{\mu} | \phi_{\nu} \rangle$ and $\langle \phi_{\mu} | \hat{h}[\rho_0] | \phi_{\nu} \rangle$ (Slater-Koster integrals) :
analytically parametrized functions.

(2) Molecular orbitals : minimal (valence) linear combinations of atomic orbitals ϕ_{μ} :

$$\psi_i = \sum_{\mu} c_{i\mu} \phi_{\mu}$$

(3) All three-center contributions are neglected in the Kohn-Sham matrix.

Modifications of the SCC-DFTB hamiltonian to describe molecular clusters

- Dispersion Interactions ($((PAH)_2$, *Rapacioli et al. JCP 2009*)

$$V_{disp} = - \sum_{i \neq j} f_{damp.}(R_{ij}) \frac{C_6^{ij}}{R_{ij}^6}$$

- Alternative to Mulliken charges
 - **CM3 charges** (charges Model 3 *Li et al. JPCA 1998, Winget et al. JPCA 2002*)

$$q_k^{CM3} = q_k^{Mull} + \sum_{\substack{\text{atomes} \\ k' \neq k}} D_{Z_k Z_{k'}} B_{kk'} \quad (B_{kk'} \text{ Mayer's bond order})$$

Improvement of :

- Dipole moments (*Simon et al. PCCP 2012*) → IR spectra (*Joalland et al. JPCA 2010*)
- Long range electrostatic interactions : PAH clusters (*Rapacioli et al. JCP 2009*), water clusters (*Simon et al. PCCP 2012, JCP 2013, CTC 2014*)

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Example : Bond dissociation energies (kcal/mol)

	$C_6H_6 - H_2O$	$H_2O - H_2O$
DFTB Mull.	1.4	1.7
DFTB CM3	2.6	3.1
Ref. Th. WF	2.17	3.11
Ref. Exp.	2.4-2.9	3.15-3.16

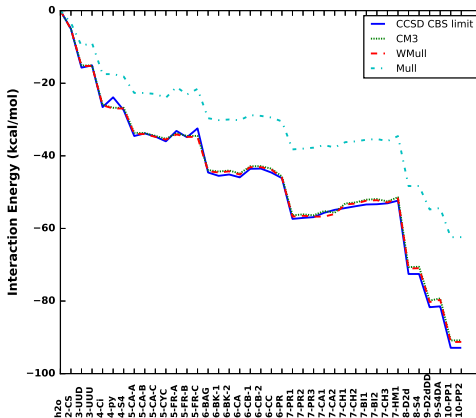
Modifications of the SCC-DFTB hamiltonian to describe molecular clusters

- Dispersion Interactions
- Alternative to Mulliken charges
 - **CM3 charges**
 - **"Weighted" Mulliken Charges** (*Michoulier et al. PCCP 2018, 20, 11941*), a simpler alternative to CM3 charges

$$\phi_{\mu}(r)\phi_{\nu}(r) \simeq \frac{1}{2}S_{\mu\nu}((1 + t_{\mu\nu})|\phi_{\mu}(r)|^2 + (1 - t_{\mu\nu})|\phi_{\nu}(r)|^2)$$

Modifications of the SCC-DFTB hamiltonian to describe molecular clusters

- Alternative to Mulliken charges : benchmark



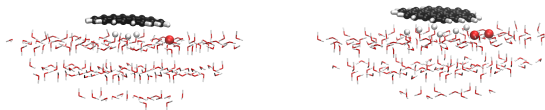
Interaction energies within water clusters : DFTB Mulliken, CM_3 ($D_{OH}=0.13$), and WMull ($t_{OH}=0.39$) vs WF calculations.

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Static and dynamic approaches

- **Static approach** : local optimisation of "populations" of isomers of large systems

Ex. 1 : Diagonalisation of the Hessian matrix : $\left[\frac{\partial^2 E}{\partial R_{\mu a} \partial R_{\nu b}} \right]_{eq}$: **IR harmonic spectra** of several configurations of PAH on ice (cf. talks of C. Toubin & J. Noble)



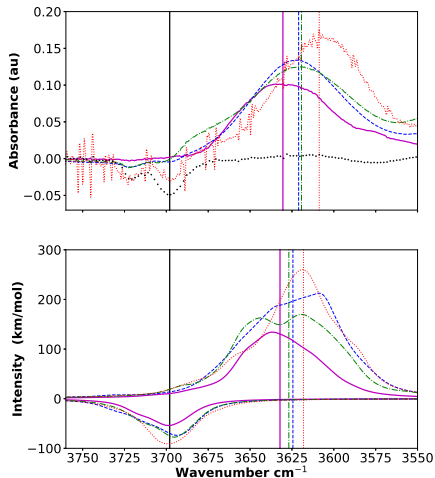
- **On-the-fly Born-Oppenheimer MD/DFTB simulations** in the ground electronic state : long runs → toward convergence

Ex. 2 : Autocorrelation functions : finite-temperature effects on IR spectra

Ex. 3 : Replica Exchange Molecular Dynamics (REMD) : heat capacities

Ex. 1 Vibrations : effect of PAH adsorption on dOH mode

Experiments vs calculations (Michoulier et al. JPCC, submitted)



Resonance of dOH mode

(Top : exp., Bottom : theo.)

Pure ASW : black ; + Bz : magenta ; +Anth : blue ; +Pyr : green ; +Cor : red.

Aromatic	δ dOH exp.	δ dOH theo.
Bz	-70	-74
Anth	-78	-79
Pyr	-79	-77
Cor	-84	-85

Good agreement exp./theo. \rightarrow cross validation of the approaches

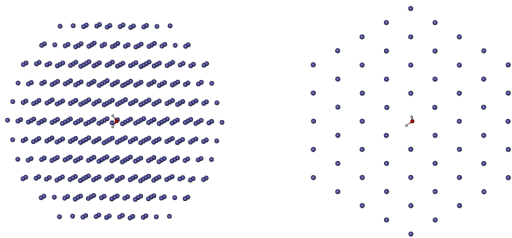
Ex. 2 Finite-temperature IR spectra from BOMD/DFTB

- Local optimization (gradient)
- Born-Oppenheimer MD simulations : (NVT) canonical sampling (a few ps) + (NVE) : ~ 10 simulations of 300 ps to reach IR cross-section convergence
- IR cross sections obtained from the Fourier Transform of the dipole autocorrelation function

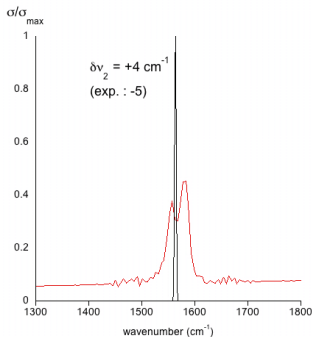
$$\alpha(\omega) \propto \omega^2 \int_0^{+\infty} dt \langle \mu(0) \cdot \mu(t) \rangle e^{i\omega t}$$

H_2O/Ar in an Ar matrix (H_2O/Ar) : model system

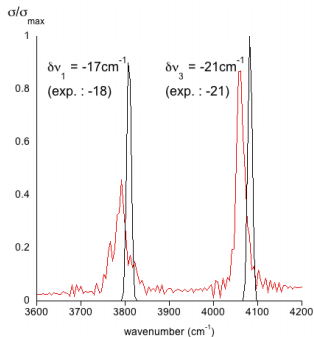
- QM (DFTB)/FF model (*Iftner et al. JCP 2014*)
- Matrix : finite-size system, organized in a face centered cubic crystalline structure, frozen atoms at the border.
- Experimental IR spectra available (*Perchard et al. Chem. Phys. 2001, Michaut et al. Vib. Spect. 2004*)



H_2O/Ar (substitution site) : IR spectra at 10 K in line with experimental results



(a)

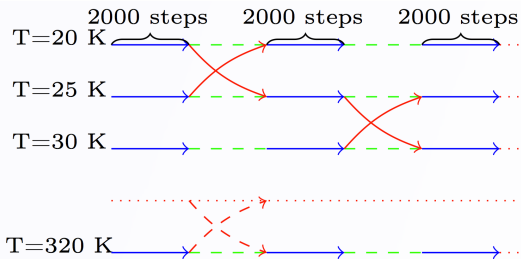


(b)

Water IR spectra : gas phase (black), in *Ar* matrix (428 *Ar* atoms, red)

- H_2O/Ar with H_2O substituting one *Ar* atom : broadening + shifts in good agreement with experiment (*Perchard et al. Chem. Phys. 2001, Michaut et al. Vib. Spect. 2004*)

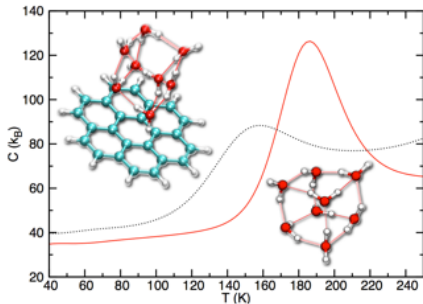
Ex. 3 Replica exchange molecular dynamics (REMD)



- N replica with independent trajectories
- Exchange probability (between T_i and T_j , $j = i + 1$)
- Metropolis criterium : $W(C_i - C_j) = \min(1, e^{\Delta E \Delta \beta})$
with $\Delta E = E_i - E_j$ and $\Delta \beta = \frac{1}{k_B T_i} - \frac{1}{k_B T_j}$

PES exploration and thermodynamic data

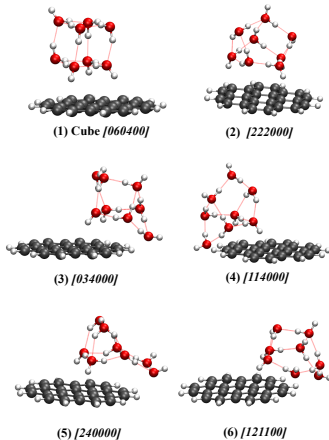
Thermodynamics : heat capacities



$$C(T) = \frac{3Nk_B}{2} + \frac{\langle V^2 \rangle - \langle V \rangle^2}{k_B T^2}$$

Oliveira et al. PCCP 2015

Labastie & Whetten PRL 1990



PAH-(H₂O)₈ : phase change at lower temperature than (H₂O)₈ : PAH surface favors "2D structures" : π_C -H and σ_H -OH₂ interactions

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Summary and outlook

- Bridge the gap between DFT and force field approaches
- Transferability : solvation of a variety of systems
- Review : *Simon et al. Mol. Sim. Special Issue, 2019, 45, Issue 4-5 : "Water", 249-268*
- Nuclear quantum effects with PIMD (*Cuny et al. to be submitted*)
- Effect of solvation on electronic excited states with TD-DFTB : static and non adiabatic dynamics (Tully Surface Hopping)
- Liquid phase : modeling of interfaces - multiscale approach (PhD N. Cing 2019-2022)

